

JG812 U.S. PTO
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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL	
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	

Attorney Docket No. 38-21(52175)B

First Inventor or Application Identifier Vergel C. Concibido

Title See 1 in Addendum

Express Mail Label No. EL 733950696 US

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01/02



APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington DC 20231	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 69] <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure		6. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input checked="" type="checkbox"/> Computer Readable Copy b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies	
3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text"/>]		ACCOMPANYING APPLICATION PARTS	
4. Oath or Declaration [Total Pages 3]		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> <input type="checkbox"/> Attorney 9. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS <i>Statement (IDS)/PTO-1449</i> <input type="checkbox"/> Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application <i>(PTO/SB/09-12)</i> <input type="checkbox"/> Status still proper and desired 13. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 14. <input type="checkbox"/> Other: 15. <input type="checkbox"/> Other:	
<small>* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</small>			

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
 Continuation Divisional Continuation-in-part (CIP) of prior application No. _____ /

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Country	Telephone	Fax			

Name (Print/Type)	E. Clifford Lawson	Registration No. (Attorney/Agent)	46,860
Signature			

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1238.00)

Complete if Known

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Vergel C. Concibido
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	38-21(52175)B

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																																																																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 13-4125</p> <p>Deposit Account Name _____</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th colspan="3">Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td colspan="3">Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td colspan="3">Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td colspan="3">Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td colspan="3">For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td colspan="3">Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td colspan="3">Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td colspan="3">Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td colspan="3">Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td colspan="3">Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td colspan="3">Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td colspan="3">Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td colspan="3">Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td colspan="3">Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td colspan="3">Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td colspan="3">Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td colspan="3">Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td colspan="3">Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td colspan="3">Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td colspan="3">Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td colspan="3">Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td colspan="3">Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130</td><td colspan="3">Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td colspan="3">Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td colspan="3">Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td colspan="3">Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td colspan="3">For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td colspan="3">Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td colspan="3">Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="6">Other fee (specify) _____</td><td colspan="2">SUBTOTAL (3) (\$)</td></tr> </tbody> </table>						Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description			Fee Paid	105	130	205	65	Surcharge - late filing fee or oath				127	50	227	25	Surcharge - late provisional filing fee or cover sheet				139	130	139	130	Non-English specification				147	2,520	147	2,520	For filing a request for ex parte reexamination				112	920*	112	920*	Requesting publication of SIR prior to Examiner action				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action				115	110	215	55	Extension for reply within first month				116	390	216	195	Extension for reply within second month				117	890	217	445	Extension for reply within third month				118	1,390	218	695	Extension for reply within fourth month				128	1,890	228	945	Extension for reply within fifth month				119	310	219	155	Notice of Appeal				120	310	220	155	Filing a brief in support of an appeal				121	270	221	135	Request for oral hearing				138	1,510	138	1,510	Petition to institute a public use proceeding				140	110	240	55	Petition to revive - unavoidable				141	1,240	241	620	Petition to revive - unintentional				142	1,240	242	620	Utility issue fee (or reissue)				143	440	243	220	Design issue fee				144	600	244	300	Plant issue fee				122	130	122	130	Petitions to the Commissioner				123	130	123	130	Petitions related to provisional applications				126	180	126	180	Submission of Information Disclosure Stmt				581	40	581	40	Recording each patent assignment per property (times number of properties)				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))				149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))				179	710	279	355	Request for Continued Examination (RCE)				169	900	169	900	Request for expedited examination of a design application				Other fee (specify) _____						SUBTOTAL (3) (\$)	
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*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	E. Clifford Lawson	Registration No. (Attorney/Agent)	46,860	Telephone	636-737-6826
Signature	<i>E. Clifford Lawson</i>	Date	1/4/2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT
38-21(52175)B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited in the United States Postal Service as Post Office to Addressee Express Mail # EL 833950696 US in an envelope addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 on January 4, 2002.


Judith A. Lorenz

In re application of:)
Vergel C. Concibido and Xavier Delannay)
Serial No.: Unknown) Examiner: Unknown
Filed: Herewith) Group Art Unit: Unknown
For: SOYBEAN PLANTS WITH ENHANCED)
YIELDS AND METHODS FOR BREEDING FOR)
AND SCREENING OF SOYBEAN PLANTS WITH)
ENHANCED YIELDS)

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith are the following documents in the above-identified application.

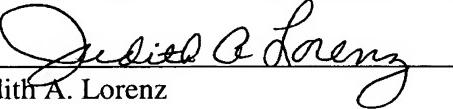
- Utility Patent Application Transmittal
- Fee Transmittal
- Specification and Claims
- Declaration
- Paper Sequence Listing, Computer Readable Diskette and Statement
- No additional fee is believed to be required;
- Please charge any deficiencies or credit any overpayment to Deposit Account 13-4125. A duplicate copy of this sheet is attached.

Respectfully submitted,


E. Clifford Lawson
Reg. No. 46,860
Patent Agent
800 North Lindbergh Boulevard
Chesterfield, Missouri 63167
(636) 737-6826

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICECERTIFICATE UNDER 37 C.F.R. §1.110

I hereby certify that the documents enclosed herein are being deposited with the United States Postal Service on this 4th day of January, 2002 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL 733950696 US addressed to: BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.



Judith A. Lorenz

In re application of:	Concibido and Delannay)
) Examiner: Unknown
Serial No.:)
) Group Art Unit: Unknown
Filed:	Herewith)
)
For:	SOYBEAN PLANTS WITH ENHANCED)
	YIELDS AND METHODS FOR BREEDING FOR)
	AND SCREENING OF SOYBEAN PLANTS)
	WITH ENHANCED YIELDS)

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT REGARDING SEQUENCE SUBMISSION

In accordance with 37 C.F.R. §1.821(f), the sequence listing information recorded in computer readable form (CRF) submitted herewith in the above-mentioned application is identical to the written on paper sequence listing.

Respectfully submitted,



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